

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Yoo-Sok SAW

Serial No: 10/826,497

Filed: April 16, 2004

For: UPLINK/DOWNLINK SYNCHRONIZING APPARATUS OF  
MOBILE COMMUNICATION TERMINAL

Art Unit: 2681

Examiner:

Confirmation No.: 1342

**TRANSMITTAL OF  
INFORMATION DISCLOSURE STATEMENT**

Mail Stop AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 CFR § 1.56 and 1.97, enclosed please find a copy of Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application.

1. Non-English Language References

☒ Enclosed is/are reference(s) cited in a foreign search report for a counterpart application.

☐ The specification incorporates comments on the relevancy of Non-English language references.

☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

2. ☒ The information disclosure statement submitted herewith is being filed within three months of the filing date of the national application other than a continued prosecution application (CPA) or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 1.114 whichever event occurs last. 37 C.F.R. § 1.97(b) (as amended September 2000).

3. ☐ The information disclosure statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but before the mailing date of either: 37 C.F.R. § 1.97(c) (as amended September 2000).

- (1) a final action under § 1.113 or
- (2) a notice of allowance under § 1.311, whichever occurs first.

#### STATEMENT OR FEE

A. Included with this transmittal is

- i. ☐ a certification (set forth below) in accordance with 37 C.F.R. § 1.97(e). (If for any reason the certificate set forth below should be unsatisfactory, the Commissioner is provisionally authorized to charge the \$180 fee (37 C.F.R. § 1.17(p)) to Deposit Account No. 502290. A copy of this sheet is enclosed.)

#### OR

- ii. ☐ the attached fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under § 1.97(c). (\$180.00).

4. ☐ The information disclosure statement transmitted herewith is being filed *after* a final action under § 1.113 or a notice of allowance under § 1.311, whichever occurs first, but before, or simultaneously with the payment of the issue fee. 37 C.F.R. § 1.97(d) (as amended September 2000).

#### STATEMENT AND FEE

A. In accordance with the requirements of 37 C.F.R. § 1.97(d):

- i. Set forth below is a certification as specified in 37 C.F.R. § 1.97(e); **AND**
- ii. Applicant submits the petition fee set forth in § 1.17(p). (\$180.00).

#### STATEMENT

(Required if 3A1 or 4 above is marked)

5. I, the person signing below, certify

- ☐ that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the information disclosure statement. 37 C.F.R. § 1.97(e)(1).

#### OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information disclosure statement was known to any individual designated in § 1.58(c) more than three months prior to the filing of the statement. 37 C.F.R. § 1.97(e)(2).

6. If it should be determined that for any reason either an insufficient fee or an excessive fee has been paid, please charge any insufficiency or credit any overpayment necessary to ensure

consideration of the information disclosure statement for the above-identified application to Deposit Account No. 502290. A copy of this petition is enclosed.

Date: August 7, 2006

Respectfully submitted,

LEE, HONG, DEGERMAN, KANG & SCHMADEKA

By: 

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		Application Number 10/826,497 Filing Date April 10, 2004 First Named Inventor Yao-Sok SAW Art Unit 2661 Examiner Name Attorney Docket Number 2060-3115
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## U.S. PATENT DOCUMENTS

[illegible]

**FOREIGN PATENT DOCUMENTS**

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Foreign Patent Document Country Code Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	To
		JP 05-191391	July 3, 1993	Sony Corp		
Examiner Signature			Date Considered			

DISCLAIMER: Initial R# reference considered, and not an official R# in conformance with WFPD 605. Draw line through citation if not in conformance and not indicated. Include copy of this form with next communication to applicant. 1. Applicant's unique citation designation number (optional). 2. Base Kinex codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), IP# 901.04, 3. Interim Office first issued the document, by the two-letter code (WFPD Standard ST.70, 4. For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document, and of document by the appropriate symbols as indicated on the document under WFPD Standard ST.10 if possible. 5. Applicant is to place a check mark next to the appropriate box.

This collection of information is required by §7 CF FR 1967 and 1.380. The information is required to obtain or retain a benefit by the public which is to file (and pay) USPTO to process an application. Confidentiality is governed by 36 USC .122 and §7 CFR OFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application forms related to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22303-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. Send To: Commissioner for Patents, P.O. Box 1480, Alexandria, VA 22303-1480. If you need assistance in completing the form call : 1-800-PATENT-5589 / 1-800-768-6199 and select code 2 - "EXAMINER". You may also send your request via e-mail at [usptoai@uspo.gov](mailto:usptoai@uspo.gov). Please include the following information in your communication to applicant: 1 Applicant's unique client identification number (optional); 2 See "Kind Codes of USPTO Patent Documents" at [www.uspto.gov/patent/uspto.htm#MPEP%20901.01c](http://www.uspto.gov/patent/uspto.htm#MPEP%20901.01c); 3 Enter D/E last issued date document; by the two-letter code [USPTO Standard 67]. 4 For Japanese patent documents, the indication of the year of the origin of the inventor must precede the serial number of the patent document. Serial of document by the appropriate symbols as indicated on the document under WFO Standard ST.-9 if possible. 6 Applicant is to place a check mark here if English language.

If you need assistance in completing this form, call 1-800-PTO-9199 / 1-800-736-9199 and select option 2.